

UNIVERSITY OF CALIFORNIA  
College of Engineering  
Department of Electrical Engineering and Computer Sciences

EECS143 Midterm Exam #1

Family Name \_\_\_\_\_ First name \_\_\_\_\_

Signature \_\_\_\_\_

**Instructions: DO ALL WORK ON EXAM PAGES**

**This is a 90-minute exam (open reader materials and homework).**

**Grading: To obtain full credit, show correct units and algebraic sign in answers.**

**Numerical answers which are orders of magnitude off will receive no partial credit.**

**Problem 1 (30 points)** \_\_\_\_\_

**Problem 2 (20 points)** \_\_\_\_\_

**Problem 3 (25 points)** \_\_\_\_\_

**Problem 4 (25 points)** \_\_\_\_\_

**TOTAL (100 points)** \_\_\_\_\_

**Problem 1 Oxidation (30 points total)**

( a ) (15 points) A lightly doped Si wafer was processed by some unknown IC processing steps. After these unknown steps, you performed a thermal oxidation experiment [fixed temperature and fixed oxidizing ambient] with this wafer and observed the following results:

Oxidation Time	SiO <sub>2</sub> Thickness
0 hour	0
1 hour	2000 Å
4 hours	2500 Å

**Are the following conjectures TRUE or FALSE ? You have to give brief explanations to justify your answers.**

*Conjecture 1* : The processed Si wafer was oxidized first to an oxide thickness of 100 Å and then have the oxide dissolved in HF.

*Conjecture 2* : The processed Si wafer has a highly doped surface layer ( doping > 10<sup>19</sup>/cm<sup>3</sup>) which is less than 1000 Å thick.

*Conjecture 3* : The processed Si wafer has a thin layer of poly-Si layer on top surface.

**Problem 1 continued**

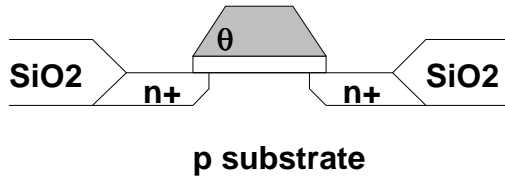
(b)( 7 points) A pure Si spherical particle of radius  $1\mu\text{m}$  is oxidized completely, what is the radius of the  $\text{SiO}_2$  sphere formed ?

(c) (8 points) Discuss the advantages and disadvantages of using the Local Oxidation (LOCOS) process

ADVANTAGES

DISADVANTAGES

**Problem2 Ion Implantation (20 points total)**



(a) (10 points) Self-aligned source and drain of a MOSFET is formed by ion implantation of phosphorus. If the gate has a tapered sidewall with angle  $\theta$ , indicate in the following table whether the electrical channel length  $L$  will increase or decrease or no change ( $\uparrow$  = increase,  $\downarrow$  = decrease, 0 = no change) when one of the parameters changes while the others remain constant.

Parameter	Electrical Channel Length $L$
Implant Dose $\uparrow$	
Substrate conc. $N_B \uparrow$	
Sidewall Angle $\theta \uparrow$	
Gate material changed from poly-Si to Tungsten	
Implant ions changed from Phosphorus to Arsenic (same energy)	

(b) (5 points) Explain why we need an additional annealing step at  $>900C$  after implantation of dopants.

(c) (5 points) We would like to form ultra-shallow junctions, discuss two methods used in IC processing to minimize the ion channeling effect

**Problem 3 Diffusion (25 points total)**

(A) (15 points) Boron is diffused into a Si substrate having a background phosphorus concentration of  $10^{16}/\text{cm}^3$ . The measured junction depth ( $x_j$ ) is  $0.7 \mu\text{m}$  and the sheet resistance is  $5 \Omega/\text{square}$ .

Since we do not have information about the boron depth profile, we make up two approximations:

Profile 1: The profile is an erfc function with  $(Dt)^{1/2} = 0.1 \mu\text{m}$

Profile 2: The profile is a rectangular profile with constant concentration from surface to  $x_j$ .

**Calculate the surface concentration of boron for both profiles.** The hole mobility can be taken as constant ( $= 60 \text{ cm}^2/\text{V-s}$ ) for all depths. Based on the surface concentration values, which profile is a better approximation ?

(b) During the initial stage of diffusion, the doped region is intrinsic ( $n = p$ ) so we won't expect to see any high concentration diffusion effect. However, Boron diffuses faster than As and the near surface region becomes highly n-doped and the deeper region becomes p-doped. Since the **net** carrier concentration can still be higher than  $n_i$ , we will start to observe high concentration diffusion effects in both regions.

(B)(10 points) The surface region of a Si wafer contains **two identical concentration depth profiles** of Boron and Arsenic. Both profiles can be considered as having high concentration ( $\sim 10^{20}/\text{cm}^3$  near the peak region)

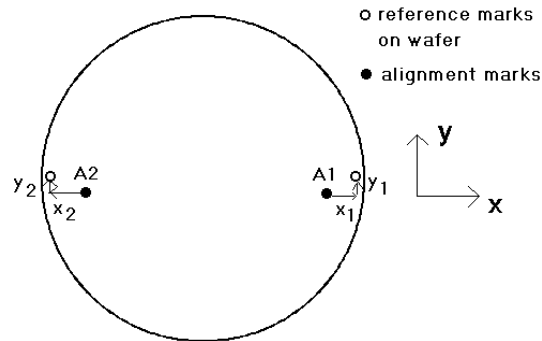
(i) Do you expect to observe *high concentration diffusion effects* when the wafer is heated at 1000 °C for short diffusion times? Explain with a sketch.

(i) Do you expect to observe *high concentration diffusion effects* when the wafer is heated at 1000 °C for long diffusion times? Explain with a sketch.

**Problem 4 Lithography (25 points total)**

(a) (15 points) Two diagonal alignment marks A1 and A2 along the x-axis near the edge of a wafer with 4-inch diameter have overlay errors  $(x_1, y_1)$  and  $(x_2, y_2)$  respectively.

$x_1, x_2, y_1$  and  $y_2$  are defined as + along the positive x and y directions.



$(x_1, y_1)$  and  $(x_2, y_2)$  are measured to be  $(+0.2, +0.2)$   $\mu\text{m}$  and  $(+0.4, +0.4)$   $\mu\text{m}$ . Calculate numerical values of :

(i) Run in/out misalignment.

(ii) Rotational misalignment.

(iii) Translational misalignment.

(B) For a particular lithography process based on projection printing, the minimum resolution ( $l_m$ ) is  $0.5 \mu\text{m}$  and the depth of focus (DOF) is  $1 \mu\text{m}$ . By placing a smaller aperture over the projection lens, the numerical aperture (NA) is reduced by a factor of 2, calculate the new values of  $l_m$  and DOF.