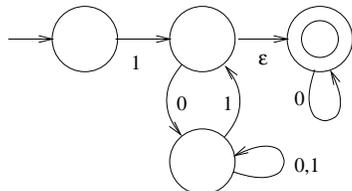


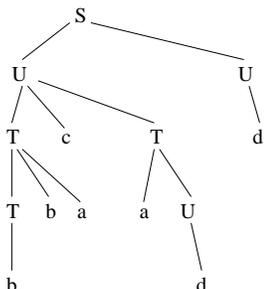
# CS172, Midterm 1 Solutions

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1. a. Here is a simple NFA that recognizes the language requested; there are several other options as well, include a much bigger NFA produced by the formal regular expression to NFA conversion procedure in Sipser.



- b. This is the sole possible parse tree, quickly found by noticing that the only source of  $d$ 's is  $U$ .



2. (True/False)

**False** If the language  $L$  is regular, so is any subset of  $L$ . *Note that **any** language is a subset of  $\Sigma^*$ , a regular language, and we've certainly seen that there exist non-regular languages.*

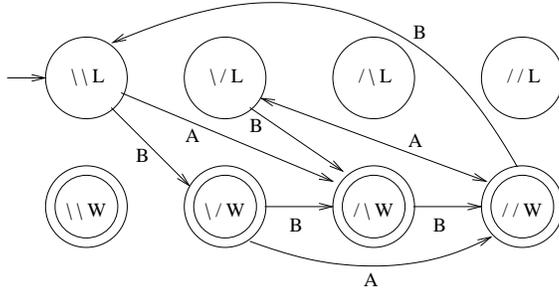
**True** The regular expression  $(0U1U\emptyset) \circ (\epsilon \circ \emptyset)$  defines the empty language. *Examining the definition of the  $\circ$  operation will show that, for any regular expression  $R$ ,  $R \circ \emptyset = \emptyset$ .*

**False** There exists an integer  $N$  such that the language  $P_N$  of all prime factors of  $N$  expressed in unary, is not regular. *No matter how large  $N$  is, it's still finite, and has a finite number of factors. Any finite language is regular.*

**False** A Turing machine may never write a space to its tape. *There's nothing in the definition that would impose such an artificial restriction.*

**True** Over a given alphabet  $\Sigma$ , there is only a finite number of languages accepted by a 1-state NFA. *If the sole state is not accepting, then the NFA **must** be accepting the empty language. If the sole state is accepting, then the language the NFA accepts is uniquely determined by the subset of  $S \in \Sigma$  for which there are loops; the NFA then accepts  $S^*$ . And there's only a finite number of subsets of  $\Sigma$ .*

3. a. Note that the "state" of the DFA should keep track not only of the positions of the two levers, but also of whether the last marble came out of the  $W$  slot, making the state an accepting state. The transitions are then directly derivable from the toy's operation rules; the figure below only shows transitions from states reachable from the starting state (the other states can be left out of the DFA). Position of the  $x$  lever is listed first in the state names.



- b. Important to the description of the language accepted is the quantity  $X = A + \lfloor B/2 \rfloor$ , where  $A$  and  $B$  are the numbers of  $A$  and  $B$  marbles dropped in thus far, respectively. It is easily seen that the  $x$  lever is rotated  $X$  times. The actual language accepted is the union of (1) the set of all strings such that the value of  $X$  before the last marble is dropped in is even, and (2) the set of all strings ending with  $B$ , whose total count of  $B$ 's is odd. The first set includes all strings which result in the last marble being directed toward  $W$  by the  $x$  switch, and the second – by the  $y$  switch.

An alternative interpretation of this language, noticed independently by Jack Sampson and Billy Chen, is to consider the toy as a 2-bit adder, started at 0, with each  $B$  marble resulting in a 1 being added to the total, and each  $A$  corresponding to a 2. Then, the machine only if the last marble dropped did *not* cause the adder to overflow.

4. Suppose there exists a  $p$  satisfying the conditions of the pumping lemma for context-free languages. Then, consider  $s = a^p b^p c^p$ . For any  $u, v, x, y, z$  satisfying the pumping lemma conditions —  $s = uvxyz$ ,  $|vxy| \leq p$ ,  $|vy| > 0$  — we know, from the last condition, that  $vy$  must contain at least one symbol from the last condition. However, the second condition guarantees that the end of  $y$  (in  $s$ ) is at most  $p$  symbols after the beginning of  $v$  (in  $s$ ), so it cannot be the case that both an  $a$  and a  $c$  is contained in  $vy$ . Thus,  $uv^2xy^2z$  has at least  $p + 1$  of at least one of the symbols, but exactly  $p$  of one of the others, so it can't possibly be in the language. Thus, the pumping lemma is violated, and the language is not context-free.  $\square$
5. Since  $L$  and  $\bar{L}$  are enumerable, there exist, respectively, TMs  $M_1$  and  $M_2$  recognizing them. A decider TM for  $L$  can be constructed by, given any input  $w$ , running  $M_1$  and  $M_2$  in parallel on two independent copies of  $w$  (on 2 different tapes), one step at a time. If  $M_1$  accepts, accept; if  $M_2$  accepts, reject. Clearly, the set of strings recognized by this TM is  $L$ , since the only strings accepted are those accepted in finite time by  $M_1$ . Furthermore, this TM is a decider since any  $w \notin L$  is in  $\bar{L}$  and will thus be accepted in finite time by  $M_2$ , and duly rejected by this TM.