

MATH 202A — LECTURE NOTES FOR OCT 7, 2005

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**Theorem 1** (Tietze Extension Theorem). *If  $X$  is a compact Hausdorff space with  $X_0 \subseteq X$  closed and nonempty, and  $f_0 : X_0 \rightarrow [\alpha, \beta]$  is continuous, then there exists a continuous function  $f : X \rightarrow [\alpha, \beta]$  such that  $f|_{X_0} = f_0$ .*

*Proof.* Without loss of generality, let  $[\alpha, \beta] = [-1, 1]$ . Let  $A = f_0^{-1}([-1, -1/3])$ ,  $B = f_0^{-1}([1/3, 1])$  be closed in  $X_0$ . By Urysohn's Lemma, there exists  $g_1 : X \rightarrow [-1/3, 1/3]$  such that  $g_1 = -1/3$  on  $A$  and  $g_1 = 1/3$  on  $B$ .

On  $X_0$ ,  $|f_0 - g_1| < 2/3$ . Apply same reasoning with  $(f_0 - g_1)|_{X_0}$  in place of  $f_0$  to get a continuous  $g_2 : X \rightarrow [-2/9, 2/9]$  such that  $|f_0 - g_1 - g_2| \leq 4/9$  on  $X_0$ . Iterate this procedure to get a sequence  $(g_n)_{n=1}^\infty$  of continuous functions, where  $g_n$  has range in  $[-2^{n-1}/3^n, 2^{n-1}/3^n]$  such that

$$\left| f_0 - \sum_{k=1}^n g_k \right| \leq \left( \frac{2}{3} \right)^n \text{ on } X_0.$$

The series  $\sum g_n$  converges uniformly on  $X$  to a continuous function  $f$  with values in  $[-1, 1]$ . By Equation ,  $f|_{X_0} = f_0$ .  $\square$

**Definition 2** (Banach Algebra). Consider the space  $C(X)$ . Under the  $\|\cdot\|_\infty$  norm,  $C(X)$  is a Banach Algebra if

$$\|fg\|_\infty \leq \|f\|_\infty \|g\|_\infty.$$

**Theorem 3** (Stone-Weierstrass Theorem). *Original Weierstrass Theorem (1885).*

- (1) *Polynomials are dense in  $C[a, b]$  ( $-\infty < a < b < \infty$ ).*
- (2) *A continuous function  $f_n$  with period  $2\pi$  can be uniformly approximated by finite linear combinations of the functions  $\cos(nx)$  and  $\sin(nx)$ .*

*Let  $X$  be compact and Hausdorff, and let  $A$  be a closed subalgebra of  $C(X)$  that separates the points of  $X$ .*

- (1) *If  $A$  contains a nonvanishing function, then  $A = C(X)$ .*
- (2) *If every function in  $A$  has a zero, then there exists  $x_0 \in X$  such that  $A = \{f \in C(X) \mid f(x_0) = 0\}$ .*

*For Weierstrass 1,  $X = [a, b]$ , and let  $A_0 \subseteq C[a, b]$  be restrictions of polynomials to  $[a, b]$ . Then by Stone-Weierstrass,  $\overline{A_0} = C[a, b]$ , i.e.  $A_0$  is dense.*

*For Weierstrass 2,  $X = [-\pi, \pi]/\{-\pi, \pi\} = S^1$  (the circle).*

**Lemma 4** (Dini's Lemma). *Let  $(f_n)_{n=1}^\infty$  be a sequence in  $C(X)$  converging pointwise and monotonically (non-decreasing or non-increasing) to  $f \in C(X)$ . Then  $f_n \rightarrow f$  uniformly.*

*Proof.* Fix  $\varepsilon > 0$ . Let  $K_n = \{x \in X \mid |f(x) - f_n(x)| \geq \varepsilon\}$  for  $n \in \{1, 2, \dots\}$ . Then  $K_n$  is closed,  $K_{n+1} \subseteq K_n$  for all  $n$  by monotonicity, and  $\bigcap_1^\infty K_n = \emptyset$ . The  $K_n$  are compact since  $X$  is, so there exists  $n$  such that  $K_n = \emptyset$ , i.e.  $|f(x) - f_n(x)| < \varepsilon$  for all  $x$ .  $\square$

**Lemma 5.** *For  $R > 0$ , there exists a sequence  $(p_n)_1^\infty$  of polynomials such that  $p_n(t) \rightarrow |t|$  uniformly on  $[-R, R]$  and  $p_n(0) = 0$  for all  $n$ .*

*Proof.* Without loss of generality, assume  $R = 1$ . Let  $q(t) = 1 - |t|$  ( $|t| \leq 1$ ). It is enough to find a sequence of polynomials converging uniformly to  $q$  on  $[-1, 1]$ , and 1 at 0. Note:  $q$  takes values in  $[0, 1]$  and  $q$  satisfies

$$(5.1) \quad (1 - q(t))^2 = t^2.$$

For a given  $t \in [-1, 1]$ , consider the equation  $(1 - s)^2 = t^2$ . It has two solutions  $s = 1 - |t| \in [0, 1]$ ,  $s = 1 + |t| > 1 \notin [0, 1]$ .  $q$  is the unique function on  $[-1, 1]$  that satisfies Equation 5.1 and takes values in  $[0, 1]$ . Rewrite Equation 5.1 as

$$(5.2) \quad q(t) = \frac{1}{2}(1 - t^2 + q(t)^2)$$

Define  $q_0, q_1, \dots$  by

$$\begin{aligned} q_0(t) &= 1 \\ q_1(t) &= 1 - \frac{t^2}{2} \\ &\vdots \\ q_{n+1} &= \frac{1}{2}(1 - t^2 + q_n(t)^2) \\ &\vdots \end{aligned}$$

By induction,  $q_n(0) = 1$  for all  $n$ , and  $q_n$  takes values in  $[0, 1]$  for all  $n$ . Also,  $q_n - q_{n+1} = (q_{n-1}^2 - q_n^2)/2 = (q_{n-1} - q_n)(q_{n-1} + q_n)/2$ , so by induction:  $q_{n+1} \leq q_n$  for all  $n$ . The sequence  $(q_n)_1^\infty$  converges pointwise, say to  $\tilde{q}$ . The recurrence relation implies  $\tilde{q}$  satisfies Equation 5.2, i.e. Equation 5.1. Since  $\tilde{q}$  takes values in  $[0, 1]$ , we get  $\tilde{q} = q$ . Use Dini's Lemma to get  $q_n \rightarrow q$  uniformly.  $\square$